

# MARYLAND PRIMARY CARE PROGRAM

## CARE TRANSFORMATION ARRANGEMENT

This Care Transformation Arrangement (“Arrangement”) is between MedStar Accountable Care, a care transformation organization (the “CTO”), and [name of Practice], (the “Practice”) (each a “Party,” and collectively the “Parties”).

The CTO has been selected by the Centers for Medicare and Medicaid Services (“CMS”), Center for Medicare and Medicaid Innovation (“CMMI”), to serve as a care transformation organization in the Maryland Primary Care Program (“MDPCP”). The Practice is a primary care practice that provides health care services to Medicare beneficiaries, among others, in the State of Maryland.

This Arrangement sets forth the terms and conditions under which the CTO will provide to the Practice certain care transformation services and resources consistent with MDPCP requirements.

1. Participation Agreements. Prior to the Effective Date of this Arrangement, the CTO must sign an MDPCP Participation Agreement with CMMI (the “CTO Participation Agreement”). Prior to the Effective Date of this Arrangement, the Practice must sign an MDPCP Participation Agreement with CMMI (the “Practice Participation Agreement”). If either Party does not sign a Participation Agreement with CMMI prior to the Effective Date of this Arrangement, then this Arrangement shall be deemed null ab initio.
2. Effective Date. The Effective Date of this Arrangement is the later of January 1, 2019, or January 1 of the year following the date this Arrangement is signed by the last Party to sign it (as indicated by the date associated with that Party’s signature). A Party’s performance obligations under this Arrangement shall not begin prior to the Effective Date.
3. Term of Arrangement. This Arrangement is effective for a minimum of one full Performance Year, which consists of a 12-month period beginning on January 1 of each year, and will renew automatically on January 1 of each year, until terminated by either party in accordance with Section 12 of this Arrangement. This Arrangement is subject to early termination by either Party only if: (1) CMS terminates either the CTO Participation Agreement or the Practice Participation Agreement, or (2) if CMS authorizes, in writing, such early termination of this Arrangement.
4. Offer and Selection of CTO Services. The Practice is responsible for meeting the Care Transformation Requirements as listed in Appendix A. The CTO will support the Practice in meeting those requirements including any support specified in the either the CTO or Practice Participation Agreements. The CTO has offered to provide any and all of the CTO Services to the Practice, as listed in the package selected in Appendix B. The CTO offers these same CTO Services to all participating practices within the same service option level and Track.
5. Care Management Fees. CMS will calculate the Practice’s Care Management Fees (“CMF”) according to the CTO Participation Agreement, the Practice Participation Agreement, and the methodologies described therein. In accordance with CTO Option Selection Form A, the CTO will receive **30/50%** of the practice’s CMF payment amount calculated by CMS, and the remaining **70/50%** of such CMF payment amount will be paid to the Practice.
6. Lead Care Manager. For practices choosing the 50% option, the CTO will provide the Practice with one or more individuals who are fully dedicated to care management functions of the Practice (the “Lead Care Manager”), and additional services selected in accordance with Section 4. For practices choosing the 30% option, the practice will have its own care manager(s) to work in conjunction with the CTO and the CTO’s offerings in accordance with Section 4.
7. Data Sharing and Privacy. The Practice authorizes the CTO to have access to all clinical data available in the electronic medical records or shared through the State-Designated Health Information Exchange (“HIE”), including personal health information, of MDPCP Beneficiaries attributed to the Practice. The Practice authorizes the CTO to have access via CRISP to quality and utilization reports available to the Practice. The CTO will include a Business Associate Agreement (“BAA”) for the Practice to approve. The BAA will govern their data sharing, use, and confidentiality, a copy of which is in Appendix B. Each Party will comply with HIE policies and regulations, including patient education requirements, and will execute any separate agreement that may be required by CRISP.
8. Notification of Changes in Medicare Enrollment. The Practice will notify the CTO of any changes to the Practice’s Medicare beneficiary enrollment information within thirty (30) days after such changes occur.

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9. No Remuneration Provided. Neither the CTO nor the Practice has offered, given, or received remuneration in return for, or to induce business other than the business covered under this CTO Arrangement.
10. Practice of Medicine or Professional Services Not Limited by this Arrangement. The Arrangement does not limit or restrict in any way the ability of the Practice and its clinician(s) to make medical decisions that they consider in their professional judgment to be in the best interest of a MDPCP Beneficiary.
11. Compliance with All Applicable Laws. This Arrangement does not alter or amend the Parties' being bound to comply with all relevant federal and State laws, including, but not limited to, health care fraud and abuse laws, HIPAA, and the Maryland Medical Practice Act. The CTO will continue to be bound by the terms of the CTO Participation Agreement, and the Practice will continue to be bound by the terms of the Practice Participation Agreement.
12. Termination. Either Party may terminate this Arrangement annually or earlier by providing written notice of termination to the other Party, CMS and the Program Management Office. If the Practice or CTO decides to terminate this Arrangement for any reason, it must provide written notice in accordance with the notification and termination requirements stated in the applicable MDPCP Participation Agreements. This Arrangement automatically terminates on the Effective Date of the termination of either the CTO Participation Agreement or the Practice Participation Agreement.
13. Copies and Retention of Arrangement. The Practice will provide a copy of this Arrangement to the CTO and the Maryland Department of Health, Program Management Office, within thirty (30) days of execution. The CTO will retain copies of this Arrangement for a period of ten (10) years following expiration or termination of the CTO Participation Agreement. The CTO will, upon request, provide copies of this Arrangement to the federal government, including, but not limited to, CMS, the HHS Office of the Inspector General, or the Comptroller General.
14. Amendments. The Parties may amend this Arrangement including, but not limited to, the CTO Services offered and provided, at any time upon mutual written consent. The CTO must continue to offer the same CTO Services to all participating practices within the same service option level and Track, as specified in Section 4 of this Arrangement.

IN WITNESS THEREOF, and in acknowledgement of the aforementioned, the authorized representatives of the CTO and the Practice do hereby indicate their approval and consent:

### FOR THE CARE TRANSFORMATION ORGANIZATION:

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Signature

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Printed Name

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
MDPCP CTO ID

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Title

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Date Signed

### FOR THE PRACTICE:

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Signature

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Printed Name

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
MDPCP Practice ID

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Title

\_\_\_\_ SAMPLE Only – Do NOT Complete Now \_\_\_\_  
Date Signed

**Appendix A:**  
**Care Transformation Requirements**

Comprehensive Primary Care Functions of Advanced Primary Care	Care Transformation Requirement	Practice Track Requirement
Access and Continuity	1.1 Empanel attributed beneficiaries to practitioner or care team.	Track 1 + 2
	1.2 Ensure attributed beneficiaries have 24/7 access to a care team or practitioner with real-time access to the EHR.	Track 1 + 2
	1.3 Ensure attributed beneficiaries have regular access to the care team or practitioner through at least one alternative care strategy.	Track 2 only
Care Management	2.1 Ensure all empaneled, attributed beneficiaries are risk stratified.	Track 1 + 2
	2.2 Ensure all attributed beneficiaries identified as increased risk and likely to benefit receive targeted, proactive, relationship-based (longitudinal) care management.	Track 1 + 2
	2.3 Ensure attributed beneficiaries receive a follow-up interaction from your practice within one week for ED discharges and two business days for hospital discharges.	Track 1 + 2
	2.4 Ensure targeted, attributed beneficiaries who have received follow-up after ED, hospital discharge, or other triggering events receive short-term (episodic) care management.	Track 1 + 2
	2.5 Ensure attributed beneficiaries in longitudinal care management are engaged in a personalized care planning process, which includes at least their goals, needs, and self-management activities.	Track 2 only
	2.6 Ensure attributed beneficiaries in longitudinal care management have access to comprehensive medication management.	Track 2 only
Comprehensiveness and Coordination across the Continuum of Care	3.1 Ensure coordinated referral management for attributed beneficiaries seeking care from high-volume and/or high-cost specialists as well as EDs and hospitals.	Track 1 + 2
	3.2 Ensure attributed beneficiaries with behavioral health needs have access to care consistent with at least one option from a menu of options for integrated behavioral health supplied to attributed beneficiaries by the Practice	Track 1 + 2
	3.3 Facilitate access to resources that are available in your community for beneficiaries with identified health-related social needs	Track 2 only
Beneficiary & Caregiver Experience	4.1 Convene a Patient-Family/ Caregiver Advisory Council (PFAC) at least annually and integrate PFAC recommendations into care and quality improvement activities.	Track 1 + 2
	4.2 Engage attributed beneficiaries and caregivers in a collaborative process for advance care planning	Track 2 only
Planned Care for Health Outcomes	5.1 Continuously improve your performance on key outcomes, including cost of care, electronic clinical quality measures, beneficiary experience, and utilization measures.	Track 1 + 2

**Appendix B:**  
**CTO Services/Personnel Offered and Practice Selection**

**Package A (50%)**

Service Category	Care Requirement & Quality Measure	Description	Staff Type	Ratio of staff (FTE) to practice
Behavioral Health Integration (BHI)	Comprehensiveness & Coordination 3.2, NQF 0004	Offer a Behavioral Health Care Manager to provide care management for behavioral health conditions and/or facilitate connecting beneficiaries to appropriate behavioral health care and community resources.	Behavioral Health Care Manager	1:25
Medication Management	Care Management 2.6	For beneficiaries engaged in CTO longitudinal care management, provide access to a pharmacist	Pharmacist	1:50
Social Determinants Screening & Referral	Comprehensiveness & Coordination 3.3	For beneficiaries engaged in care management, facilitate access to community-based organizations and social services for beneficiaries with an identified social need.	Lead Care Manager	1:2
Alternative Care (e.g., Telehealth, home visits)	Access & Continuity 1.3	Provide technical assistance and care team support for practices to support their strategy to provide alternative approaches care.	Operations Lead Clinical Operations Manager	1:50 1:50
Transitional Care Management (TCM)	Care Management 2.2, 2.3, 2.4, 2.5, 2.6	As data flow permits, support identification of beneficiaries appropriate for care management via risk stratification and in real time through ADT notifications (as accessible).; Leveraging available tools, outreach empaneled patients with a recent ED or hospital discharge to schedule a follow up appointment, provide patient education, reconcile medications, and engage patient in episodic care management as appropriate.; For beneficiaries identified as at increased risk and likely to benefit, provide longitudinal care management to include self-management support, coordination with specialists, and linkage to community and social services as appropriate.	Data Analyst Lead Care Manager Lead Care Manager Care Manager	1:50 1:2 1:2 1:5
Care Planning & Self-Management Support	Care Management 2.5, Beneficiary & Caregiver Experience 4.2	For beneficiaries identified as at increased risk and likely to benefit, provide longitudinal care management to include self-management support, coordination with specialists, and linkage to community and social services as appropriate.	Care Manager	1:5
Population Health Management & Analytics	Planned Care for Health Outcomes 5.1, eCQMs, Utilization	Support data analysis and monitoring of performance measures.; Actively participate in practice quality improvement efforts.; As requested, provide technical assistance and subject matter expertise on quality improvement projects relating to MDPCP.	Data Analyst Lead Care Manager Operations Lead	1:50 1:2 1:50
Clinical & Claims Data Analysis	Care Management 2.1-2.4, Utilization	As data flow permits, leverage tools to perform additional risk stratification and beneficiary segmentation; identify high volume/high cost specialists, EDs, and hospitals; support practice efforts to improve timeliness and seamlessness of data sharing and real-time identification of patient admissions, discharges, and transfers for targeted providers.	Data Analyst Operations Lead	1:50 1:50
Patient Family Advisory Councils (PFACs)	Beneficiary & Caregiver Experience 4.1	Identify and recommend empaneled beneficiaries who might be interested in serving on PFAC.; Offer technical assistance, Subject Matter Expertise, and support convening PFAC.	Lead Care Manager Operations Lead	1:2 1:50
Quality & Utilization Performance	Planned Care for Health Outcomes 5.1, eCQMs	Support data analysis and monitoring of performance measures.; Actively participate in quality improvement efforts, including quality improvement meetings/huddles. As requested, provide technical assistance and subject matter expertise on quality improvement projects relating to MDPCP.	Data Analyst Lead Care Manager	1:50 1:2
24/7 Access	Access & Continuity 1.2	Assign care team members to support practices and their empaneled beneficiaries.; Provide Technical Assistance accessing attribution files from CMS and provide subject matter expertise on attribution and empanelment.; Provide technical assistance to practices to support their strategy to provide 24/7 access to practitioners with real time access to the EMR.	Clinical Operations Manager Operations Lead Operations Lead	1:50 1:50 1:50
Referral Management	Comprehensiveness & Coordination 3.1	As data flow permits, utilize tools to identify high volume/high cost specialists, EDs, and/or hospitals.; Support practice efforts to improve timeliness and seamlessness of data sharing and real-time identification of patient admissions, discharges, and transfers for targeted providers.; Coordinate appointment scheduling and referral management with identified high volume and/or high cost specialists, EDs, and hospitals.	Data Analyst Operations Lead Lead Care Manager Lead Care Manager	1:50 1:50 1:2 1:2

**Example Package B (30%)**

Service Category	Care Requirement & Quality Measure	Description	Staff Type	Ratio of staff (FTE) to practice
Behavioral Health Integration (BHI)	Comprehensiveness & Coordination 3.2, NQF 0004	Provide technical assistance and subject matter expertise around Behavioral Health Integration.; Provide Behavioral Health Care Manager responsible for coordinating care for behavioral health conditions and facilitating connection to appropriate behavioral health care and community resources.	Operations Lead Behavioral Health Care Manager	1:50 1:23
Medication Management	Care Management 2.6	Provide technical and workflow assistance to practice-led endeavors around medication management; For beneficiaries engaged in longitudinal care management, provide access to a pharmacist.	Operations Lead Clinical Operations Manager	1:50 1:50
Social Determinants Screening & Referral	Comprehensiveness & Coordination 3.3	Provide access to compendium of community-based and social service organizations in the practice's region.; Provide Subject Matter Expertise around Social Determinant of Health screening, navigation, and integration into workflow	Clinical Operations Manager Operations Lead	1:50 1:50
Alternative Care (e.g., Telehealth, home visits)	Access & Continuity 1.3	Provide technical assistance and otherwise support practice strategy to provide alternative care approaches	Operations Lead	1:50
Transitional Care Management (TCM)	Care Management 2.2, 2.3, 2.4, 2.5, 2.6	Provide Subject Matter Expertise integrating care planning, patient-centered goals, and self-management in care management.	Operations Lead Clinical Operations Manager	1:50 1:50
Care Planning & Self-Management Support	Care Management 2.5, Beneficiary & Caregiver Experience 4.2	Provide Subject Matter Expertise integrating collaborative advance care planning into care management and/or practice operations.	Operations Lead Clinical Operations Manager	1:50 1:50
Population Health Management & Analytics	Planned Care for Health Outcomes 5.1, eCQMs, Utilization	Support data analysis and monitoring of performance measures.	Data Analyst	1:50
Clinical & Claims Data Analysis	Care Management 2.1-2.4, Utilization	As data flow permits, leverage tools to perform additional risk stratification and beneficiary segmentation; identify high volume/high costs specialists, EDs, and hospitals; support practice efforts to improve timeliness and seamlessness of data sharing and real-time identification of patient admissions, discharges, and transfers for targeted providers.	Data Analyst Operations Lead	1:50 1:50
Patient Family Advisory Councils (PFACs)	Beneficiary & Caregiver Experience 4.1	Offer technical assistance, Subject Matter Expertise, and support convening PFAC.	Operations Lead	1:50
Quality & Utilization Performance	Planned Care for Health Outcomes 5.1, eCQMs	Support data analysis and monitoring of performance measures.; As requested, provide technical assistance and subject matter expertise on quality improvement projects relating to MDPCP.	Data Analyst Operations Lead	1:50 1:50
24/7 Access	Access & Continuity 1.2	Provide technical assistance to practices to support their strategy to provide 24/7 access to practitioners with real time access to the EMR.	Operations Lead	1:50
Referral Management	Comprehensiveness & Coordination 3.1	As data flow permits, utilize tools to identify high volume/high cost specialists, EDs, and/or hospitals.; Support practice efforts to improve timeliness and seamlessness of data sharing and real-time identification of patient admissions, discharges, and transfers for targeted providers.	Data Analyst Operations Lead	1:50 1:50

\*Practice will have its own care manager to work in conjunction with the CTO and the CTO's offerings

Final Practice Selection

- Package A (50%)
- Package B (30%)

Practice Signature SAMPLE Only – Do NOT Complete Now CTO Signature SAMPLE Only – Do NOT Complete Now

**Appendix C:**  
**Business Associate Agreement**  
**between the CTO and the Practice**

[Attached hereto]

SAMPLE